

Express Mail No. EK823570268US
Case Docket No. CHR 00-63

17/11
JC872 U.S. PRO
09/904224
07/12/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sukun (NMN) Zhang, Warunee S. Sisson, Charlie A. Rumble, Marc S. Moisa, and J. George Hayden

Serial No.: 09/904224

Group Art Unit: 1714

Filed: 7-12-01

For: Waterborne Polymer Compositions

Examiner: Szeke

Honorable Commissioner of
Patents and Trademarks
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with 37 C.F.R. 1.56, applicant wishes to call the attention of the Examiner to the following references:

<u>U.S. PATENT NO.</u>	<u>PATENTEE</u>	<u>ISSUE DATE</u>
347,200	Robinson & Cothren	08/10/1886
3,105,823	Boenau	10/01/1963
4,013,627	Temple	03/22/1977
4,117,199	Gotoh et al.	09/26/1978
4,147,851	Raynolds	04/03/1979
4,327,121	Gray, III	04/27/1982
4,525,423	Lynn et al.	06/25/1985
4,923,940	HSU	05/08/1990

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5,654,039	Wenzel et al.	08/05/1997
5,725,789	Huber et al.	03/10/1998
6,103,802	Zhang et al.	08/15/2000

Copies of these references are submitted herewith along with form PTO-1449.

U.S. Patents Nos. 347,200; 3,105,823; 4,013,627; 4,117,199; 4,147,851; 4,525,423; 4,923,940; 5,338,345; 5,654,039; and 5,725,789 relate to the production of coatings and the relevance of these references is discussed in the above-referenced patent application.

The remaining Patents are cited as being representative of the state of the art in the coatings area.

These citations do not constitute an admission that the references are relevant or material to the claims; they are cited only as constituting the closest art of which the applicant is aware.

Respectfully Submitted,



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Attachments

Dated: July 12, 2001
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Sheet 1 of 1

Form PTO-1449 (REV. 8-83) U.S. DEPT. OF COMMERCE U.S. PATENT AND TRADEMARK OFFICE		Atty. Docket No. CTR 00-63						SERIAL NO.							
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		APPLICANTS Sukun (NMN) Zhang, Warunee S. Sisson, Charlie A. Rumble, Marc S. Moisa and J. George Hayden													
		FILING DATE						GROUP							
U.S. PATENT DOCUMENTS															
EXAMINER INITIAL		DOCUMENT NUMBER						DATE	NAME			CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		3	4	7	2	0	0	8/1886	Robinson & Cothern						
		3	1	0	5	8	2	3	10/63	Boenau					
		4	0	1	3	6	2	7	03/77	Temple					
		4	1	1	7	1	9	9	09/78	Gotoh et al					
		4	1	4	7	8	5	1	04/79	Ravndols					
		4	3	2	7	1	2	1	04/82	Gray, III					
		4	5	2	5	4	2	3	06/85	Lynn et al					
		4	9	2	3	9	4	0	05/90	Hsu					
		5	3	3	8	3	4	5	08/94	Scarborough et al					
		5	4	2	5	9	9	1	06/95	Lu					
		5	6	5	4	0	3	9	08/97	Wenzel et al					
		5	7	2	5	7	8	9	03/98	Huber et al					
		6	3	0	3	8	0	2	08/00	Zhang et al					
FOREIGN PATENT DOCUMENTS															
		DOCUMENT NUMBER						DATE	COUNTRY			CLASS	SUBCLASS	TRANSLATION	
														YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)															
EXAMINER		DATE CONSIDERED													